

Attorney's Docket No.: 12669-002001 / 30072UPS00

3761

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : John David Fraser et al  
Serial No. : 10/006,797  
Filed : December 4, 2001  
Title : IMMUNOMODULATORY CONSTRUCTS AND THEIR USES

Art Unit : 3761  
Examiner : Unknown

Commissioner for Patents  
Washington, D.C. 20231

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**INFORMATION DISCLOSURE STATEMENT**

Applicants submit the documents listed on the attached form PTO-1449, a copy of which is enclosed. Also enclosed is a copy of an International Search Report dated February 14, 2002, issued in the corresponding International Application No. PCT/NZ01/00267.

This statement is being filed before the receipt of a first Office action on the merits. Please apply any charges to Deposit Account No. 06-1050, referencing Attorney Docket No. 12669-002001.

Respectfully submitted,

Date: \_\_\_\_\_

11-12-02

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CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, Washington, D.C. 20231.

November 12, 2002

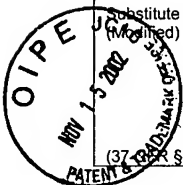
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Signature

*Deborah R. Nast*

Deborah R. Nast

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Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 12669-002001	Application No. 10/006,797
<b>Information Disclosure Statement by Applicant</b> (Use several sheets if necessary) (37 CFR §1.98(b))		Applicant John David Fraser et al	
		Filing Date December 4, 2001	Group Art Unit 3761

### U.S. Patent Documents

Examiner Initial	Desig. ID	Patent Number	Issue Date	Patentee	Class	Subclass	Filing Date If Appropriate
	AA	5,968,514	Oct. 19, 1999	Johnson, et al.			
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						

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### Foreign Patent Documents or Published Foreign Patent Applications

Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation	
							Yes	No
	AJ	WO 98/26747	25 Jun 1998	WIPO				
	AK	WO 98/24910	11 Jun 1998	WIPO				
	AL							
	AM							
	AN							

### Other Documents (include Author, Title, Date, and Place of Publication)

Examiner Initial	Desig. ID	Document
	AO	Thomas Proft, et al. <i>Superantigens: Just Like Peptides Only Different.</i> J. Exp. Med. 187(6):819-821, March 1998.
	AP	John K. McCormick, et al. <i>Development of Streptococcal Pyrogenic Exotoxin C Vaccine Toxoids That Are Protective in the Rabbit Model of Toxic Shock Syndrome.</i> Journal of Immunology, 165:2306-2312, 2000.
	AQ	
	AR	

Examiner Signature	Date Considered
EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	